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Art Unit: 1732

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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/709,708

Attorney Docket No.: OTMP0078USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 31 pages (including cover page)

Winston Hsu SEP. 01 2006

OTMP0078USA0_D1_2

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PTO/58/21 (07-08)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/709,708	
	Filing Date	05/24/2004	
	First Named Inventor	Chien-Hung HSU	
	Art Unit	1732	
	Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL	
Total Number of Pages in This Submission	→ 6	Attorney Docket Number	OTMP0078USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	SEP. 01 2006	Reg. No.	41,526

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature	<i>Zoe Huang</i>		
Typed or printed name	Zoe Huang	Date	SEP. 01 2006

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PTO/SB/17 (07-00)

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 0.00**Complete if Known**

Application Number	10/709,708
Filing Date	05/24/2004
First Named Inventor	Chien-Hung HSU
Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL
Art Unit	1732
Attorney Docket No.	OTMP0078USA

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

Each independent claim over 3 (including Reissues)

50

25

Multiple dependent claims

200

100

360

180

Total Claims**Extra Claims****Fee (\$)****Fee Paid (\$)**

- 20 or HP =

x

=

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims**Extra Claims****Fee (\$)****Fee Paid (\$)**

- 3 or HP =

x

=

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge):

SUBMITTED BY

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent)	41,526	Telephone	3027291562
Name (Print/Type)	Winston Hsu	Date	SEP. 01 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/709,708
Filing Date	05/24/2004
First Named Inventor	Chien-Hung HSU
Art Unit	1732
Examiner Name	WOLLSCHLAGER, JEFFREY MICHAEL
Attorney Docket Number	OTMP0078USA

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.*	Document Number Number-Kind Code ² of record	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,391,231 B1	05-21-2002	Evans, et al.	all
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.*	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Y ⁶
	2	CN 1332669A	05-21-2002	Evans; Russell S.; Balch; Thomas; Yamasaki; Nancy L. S.	all	✓

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Examiner: WOLLSCHLAGER, JEFFREY MICHAEL

Applicants: Chien-Hung Hsu;

Wen-Yung Huang;

Ruei-Jen Wang;

Yo-Chen Chen;

10 Chia-Hung Wu

Filing Date: 05/24/2004

Art Unit: 1732

Appl. No.: 10/709,708

Docket No.: OTMP0078USA

Conf. No.: 3707

15 Title: METHOD FOR FORMING MICROSTRUCTURE OPTICAL ELEMENTS

To: The Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

20

Subject: Information disclosure statement Under 37C.F.R.

§1.56.

Dear Sir:

25 This is an Information Disclosure Statement in

accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicants wish to make of record the document listed on the accompanying form PTO/SB/08.

5

Since the IDS is filed before the mailing date of a first Office action on the merits, consideration of the information disclosure statement is hereby requested according to 37C.F.R. §1.97(b). The Chinese cited art
10 contained in the information disclosure statement was mailed from the State Intellectual Property Office of China on June 2, 2006. Applicants sincerely hope that the examiner can consider the items contained in the information disclosure statement.

15

According to the requirement set forth in 37 CFR §1.98 and MPEP 609, applicants are submitting a copy of the cited Chinese Publication #CN1332669A (published on January 23, 2002). The Chinese art has
20 a corresponding US patent #US6,391,231 B1 (published on May 21, 2002).

Sincerely yours,

25

2/3

Winston Hsu

Date: SEP. 01 2006

Winston Hsu, Patent Agent No. 41,526

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